

BUS 410 - Regulatory Compliance
Doane College/Lincoln
Tuesday Evenings at 6pm
Summer Term, 2016 (3 credit hours)

Instructor:
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Course Description:

An introduction to the American legal system, focusing on the roles and authority of the federal and state regulatory agencies. Students learn the rights and responsibilities of individuals and business entities in the context of several regulatory schemes, including: environmental (EPA) employment (EEOC), and occupational safety (OSHA). Students will be able to identify and analyze business situations involving regulatory issues and will be able to identify and analyze business situations involving regulatory issues and will be able to apply legal concepts to determine a recommended course of action for individuals and organizations.

Course Outline (by week):

- 1) 5/24/16 Read chapters 1& 2, pages 2-42. Introduction To Law & Business Ethics & Social Responsibility.
- 2) 5/31/16 Read chapter 4, pages 77 – 103. Common Law, Statutory Law, and Administrative Law.
- 3) 6/07/16 – Read chapters 17 & 18, pages 425– 481. Employment and Labor Law & Employment Discrimination.
Group Presentations Should Be Identified And Set By Today
- 4) 6/14/16 – Read the handout entitled, “All About OSHA” , a 2015 booklet in the public interest.
A Take Home Midterm Will Be Given Out In Class Today
- 5) 6/21/16 – Read chapter 27, pages 714 – 739. Environmental Law (EPA & FDA).
Your Take Home Midterm Is Due In Class Today
- 6) 6/28/16 – Read chapter 21, pages 544 – 574. Securities Regulation.
Group Presentations May Begin Today
- 7) 7/05/16 – Read chapter 20, pages 511 – 542. Corporations.
Group Presentations Continue Today & Your Final Will Be Given Out In Class
- 8) 7/12/16 - REVIEW READINGS-CONCLUSION
Group Presentations Conclude & Your Final Exam & Paper Are Due Today

Upon Completion of This Course Students Will:

- 1) Develop a general understanding of regulatory law.
- 2) Develop a better understanding of EEOC, OSHA, EPA, FDA and SEC regulatory practices
- 3) Develop a better understanding of management options in dealing with regulatory agencies.
- 4) Develop and refine group presentation skills.

Course Learning Strategies To Achieve Objectives:

- 1) Class Lecture
- 2) PowerPoint presentations to illustrate issues
- 3) Group discussion.

Texts:

1 Texts:

1)Legal Environment, 5th edition, by Jeffrey F. Beatty, Susan S. Samuelson and Dean Bredeson, Published by South-Western Cengage Learning, copyright 2014. ISBN: 978-1-133-58749-1

2)All About OSHA, 2015 booklet in the public interest by the U.S. Department of Labor, Occupational Safety and Health Administration, 2015, available at https://www.osha.gov/Publications/all_about_OSHA.pdf

Grading Scale:

A+ = 98-100%; A=greater than 92 & less than 98%; A-=90-92%; B+ =88 & less than 90%; B=greater than 82 and less than 88%; B-= 80-82%; C+ =78 & less than 80%; C= greater than 72 and less than 78; C- = 70-72%; D+ =68 & less than 70%; D =greater than 62 & less than 68%; D- = 60-62%; F=<60.

Grading:

Grades will be determined by the following, totaling 100% possible, per student:

1. Midterm Exam-----25%

A take home exam will be given out during the 4th session and is due during the 5th session. This midterm exam will cover materials found in the first 1-4 sessions.

2. Final Exam-----25%

A take home exam will be given out at the 7th session and due during the 8th session. This final exam will cover materials found in all sessions.

3. Group Presentation -----25%

During our first class, groups will be formed to work on one 15-20 minute presentation. This group presentation will focus on a management regulatory problem, involving one of the regulatory agencies we study, EEOC, EPA, OSHA, FDA or the SEC, to be presented to the class during one of our last few sessions. The particular topic chosen will be determined by the group, as long as no other group reserves that topic first and it is approved by the instructor. All presentations must be identified by our second week's class session.

Presentations should have an opening, body and a closing, with a brief question and answer period following the presentation. Additional research, obtained from beyond the text, will mark the better presentations. Groups should consider themselves regulatory consultants and present accordingly in a professional manner. A written outline, or summary, must be turned in to your instructor on the day of the group presentation, and all sources must be cited.

4. Paper -----25%

By our 8th class, a paper of at least 5 typed, double spaced pages is due about one of the regulatory agencies that we study for this class. Please detail the history, organizational layout, duties, responsibilities, etc of the regulatory agency. Cite all sources used in your paper.

Total.....100%

Academic Integrity:

The Doane College Academic Integrity Policy will be adhered to in this class. All papers and tests will represent your own work. Any use of others ideas and words without proper citation of sources is plagiarism and will result in penalties to be determined by the instructor and/or the Dean of Graduate Studies.

Attendance:

Students are expected to attend all classes, due to the importance of class discussion and materials covered. The only officially valid absences are due to illness, scheduled travel or college or work sponsored trips or activities beyond the student's control. It is important that you notify your instructor prior to the effected session for any absences. Attendance will be taken at the start of each class session.